



Patent
252/132
P98-0029US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BERGMAN, Eric J. et al.

Serial No.: 09/061,318

Filed: April 16, 1998

For: **APPARATUS AND METHOD FOR
DELIVERING A TREATMENT LIQUID
AND OZONE TO TREAT THE SURFACE
OF A WORKPIECE**

Group Art Unit: 1746

Examiner: F. Stinson

RECEIVED
MAY 26 2000
TC 1700 MAIL ROOM

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Before examination, please amend the application as follows:

IN THE CLAIMS

Please add new claims 23-30.

LA-145703.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL556592625US

Express Mail Label No.

May 19, 2000

Date of Deposit

Laura Murphy

Name of Person Mailing Paper

Signature of Person Mailing Paper

05/24/2000 CV0111 00000107 03061318
01 FC:102 156.00 OP
02 FC:103 144.00 OP



05-22-00

GAU-1746 \$

Patent
252/132
P98-0029US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BERGMAN, Eric J. et al.

Serial No.: 09/061,318

Filed: April 16, 1998

For: **APPARATUS AND METHOD FOR
DELIVERING A TREATMENT LIQUID
AND OZONE TO TREAT THE SURFACE
OF A WORKPIECE**

) Group Art Unit: 1746
)
)
) Examiner: F. Stinson
)
)
)
)
)
)
)
)

RECEIVED
MAY 26 2000
TC 1100 MAIL ROOM

PRELIMINARY AMENDMENT TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment for the above-identified application.

☐ "Small Entity Status" of this application under 37 CFR 1.9 and 1.27 has been established by a Verified Statement previously submitted.

A Verified Statement to establish "Small Entity Status" under 37 CFR 1.9 and 1.27 is enclosed.

RECEIVED
MAY 26 2000
TC 1100 MAIL ROOM

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL556592625US
Express Mail Label No.

May 19, 2000
Date of Deposit
LA-145776.1

Laura Murphy
Name of Person Mailing Paper

Laura Murphy
Signature of Person Mailing Paper

- ☐ Applicant(s) petitions for an extension of time under 37 CFR 1.136 [fees: 37 CFR 1.17(a)-(d)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
1 month	<input type="checkbox"/> \$55.00	<input type="checkbox"/> \$110.00
2 months	<input type="checkbox"/> \$190.00	<input type="checkbox"/> \$380.00
3 months	<input type="checkbox"/> \$435.00	<input type="checkbox"/> \$870.00
4 months	<input type="checkbox"/> \$680.00	<input type="checkbox"/> \$1360.00

- ☐ An extension for _____ months has already been secured and the fee paid therefor of _____ is deducted from the total fee due for the total months of extension now requested.
- ☐ Extension fee due with this Request \$ _____
- ☐ **NO ADDITIONAL FEE IS REQUIRED.**

RECEIVED
MAY 26 2000
TC 1700 MAIL ROOM

FEES FOR CLAIMS:

The fees for claims (37 CFR 1.16(b)-(d)) have been calculated as shown below:

Total Claims	30 - 22	=	8 x \$18	\$144.00
Independent Claims	11 - 9	=	2 x \$78	\$156.00
Multiple Dependent Claims	\$270 (if applicable)			
TOTAL OF ABOVE CALCULATIONS				\$300.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.				
EXTENSION FEE DUE FROM ABOVE				\$.00
TOTAL FEES SUBMITTED HERewith				\$300.00

- ☒ A check in the amount of \$300.00 is enclosed to cover the above fee(s).
- ☐ Charge Deposit Account No. 12-2475 in the amount of \$ _____ .

☒ The Commissioner is authorized to charge Counsel's Deposit Account No. **12-2475** for any fees required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: May 19, 2000

By:

Kenneth H. Ohriner
Kenneth H. Ohriner
Reg. No. 31,646

633 West Fifth Street
Suite 4700
Los Angeles, California 90071-2066
(213) 489-1600 - Fax (213) 955-0440

RECEIVED
MAY 26 2000
TC 1100 MAIL ROOM